Advantages of Industrial Ethernet

Comparison of Modbus over TCP/IP and

PROFINET

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Abstract

The topic of this work is Industrial Ethernet and why it is developed and used. In the recent years fieldbuses have been used to connect devices on the factory floor. Now it seems that the next step in development is taking place. The classic fieldbus protocols can't satisfy today's requirements and so modern protocols are stepping in. A promising approach is to build solutions using Ethernet as base. This work tries to summarise why fieldbuses are so successful and what steps have to be taken to use Ethernet as a replacement.

After the introduction two representatives of this protocol class are compared. The two protocols are Modbus over TCP/IP and PROFINET. Modbus over TCP/IP is an extension of the popular Modbus protocol to replace the serial connection with TCP/IP. PROFINET is the successor of PROFIBUS and can be split up into PROFINET CBA and PROFINET IO.

1 Industrial Communication

1.1 Automation Pyramid

The automation pyramid describes different levels in a factory. The sensors and actuators that control the process are located at the bottom level. This level is called **field level** and is controlled by devices in the control level. In the **control level** the programmable logic controller (PLC) are located. These devices run programs that control the sensors and actuators. Beside of these devices human machine interfaces (HMI) are located in this level. Together they allow to monitor and control a process. Fieldbuses connect devices in this level. Industrial Ethernet tries to replace fieldbuses at this level. The data collected in this level is transfered to the management level. Manufacturing execution systems (MES) and supervisory control and data acquisition (SCADA) systems in this level collect information about the production and allow the generation of schedules derived from the enterprise level. This level includes enterprise resource planning (ERP) systems that focus on the company and the resources of it. So each level controls the level beneath and reports data to the level above. [12, p. 536-538]

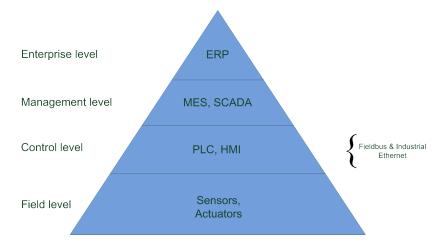


Figure 1.1: Automation Pyramid [12, p. 536]

1.2 Fieldbuses

This section is based on [16] and [6]. The development of fieldbuses started around 1981. The goal was "to solve some end-user problems, coming from the heterogeneity of their devices" [16, p. 82]. Many companies started developing their own protocols. Their approaches were different at a very basic level.

"PROFIBUS was based on a distributed control idea and in its original form supported an object-oriented vertical communication according to the client-server model in the spirit of the MAP/MMS specification. FIP, on the other hand, was designed with a central, but strictly realtime capable control scheme and with the newly developed producerconsumer (producer-distributor-consumer) model for horizontal communication" [6, p. 73].

Thomesse also agrees on that two different points of view what kind of problem a fieldbus should solve: "*a fieldbus is only a network for simplifying the wiring between devices, or a fieldbus is the spinal column of a distributed realtime system*" [16, p. 81].

A list of common end-user requirements for fieldbuses as described in [16, p. 83] is:

- safety, availability and, more generally, dependability
- better maintainability
- · better modularity and capacity for evolution
- · openness, interoperability, interchangeability, long lifetimes
- better performances and low cost
- end-user will not need to see the fieldbus
- lower costs

Although the end-user requirements are equal for all fieldbuses at least 50 different fieldbus protocols were developed. France (FIP) and Germany (PROFIBUS) developed their own national standard and wanted

them to become an international standard. This situation led to a situation called the "*fieldbus wars*". It was impossible to agree on an international fieldbus standard for nearly 15 years. The situation was solved in 1999 and resulted in IEC 61158. This standard includes all popular fieldbuses. This standard stopped the fieldbus wars.

To give a few examples, a list of popular fieldbuses according to [3, p. 1102] is noted below:

WorldFIP	PROFIBUS
P Net	Interbus
AS-Interface	SERCOS
LonWorks	MVB
MIL-STD 1553	DeviceNet
SDS	CAN

Table 1.1: Popular fieldbuses

1.3 Industrial Ethernet

Nearly 25 years have passed since the development of the fieldbus protocols, and the requirements have changed. Today, it is normal to connect all kinds of things to the Internet.

"The only barrier to access devices in the field of the automation world, from the Internet over a network connection, are the fieldbuses. Therefore, the question is: why is it not possible to use Ethernet also in automation technology?" [5, p. 1118]

The requirements of the data transmission also changed. It is common to transmit more data than before. The throughput that fieldbuses offer is nevertheless very limited when compared to Ethernet or ATM [3].

In the office world, Ethernet and TCP/IP based networks are getting cheaper and cheaper and at the same time faster (10 GB/s soon). This is possible because Ethernet and TCP are used everywhere. All these facts have encouraged vendors to develop Ethernet based solutions suitable for usage in industrial environments [3, 5]. Using the normal Ethernet as fieldbus replacement is impossible as it is not a real-time network. As listed in [5] it doesn't support:

- time-deterministic communication
- · time-synchronized actions between field devices like drives
- · efficient and frequent exchange of very small data records

According to [5, p. 1121], the user requirements for performance can be divided into three classes:

- low-speed class for human control, delivery times around 100 ms, which can be fulfilled with a standard TCP/IP system
- second class for process control, delivery times around 10 ms, powerful equipment to handle TCP/IP in real-time or simplified protocol stack on normal equipment
- third class for motion control, delivery times around 1 ms, jitter not more that 1 μ s, only reachable with modified hardware and modified MAC (Medium Access Control)

1.3.1 Ethernet

Ethernet and the IEEE standard 802.3 have little difference and compatibility was guaranteed. To share one medium between more devices, a MAC algorithm named Carrier-Sense Multiple Access With Collision Detection (CSMA/CD) is used in both. This algorithm is the reason for the indeterministic behavior of data transmission. When a station wants to send data it waits until the medium is free for a time span called the interframe gap. After that time interval of no traffic the station starts sending. When more than one station starts sending a collision arises. This is detected by the stations. To notify all stations of this collision, a jamming signal is sent. Now the station chooses a random time to wait before trying to send again. With each collision this time is doubled. Each station with data to send waits this randomly chosen time and starts sending again. This procedure is repeated until the data is sent. [3, p. 1105]

Because of this behavior no upper bound for the transmission time can be given. Under heavy load conditions it is possible that the MAC results in unfair results.

"The Ethernet Capture Effect is the behavior wherein under high load, one station is able to hold on to the channel to transmit packets consecutively, in spite of other station(s) contending for access. This is particularly acute in the case of a 2-node network, with one station receiving an unfair share of the channel bandwidth over a transient period." [14, p. 228]

1.3.2 Other layers

"The behavior of the application is under the control of the end user, i.e. the APs [Application Processes, J.K.] implemented on the distributed sites and not under control of a protocol at a given layer. That means that time constraints are given by the user." [15, p. 372]

According to this it is impossible to establish real-time communication on a single layer. For the transport layer several real-time dedicated protocols where proposed. But the most common are still TCP and UDP. They are used in combination with the Internet Protocol (IP) as network layer. The behavior of the routers that work on that layer have direct impact on the real-time guarantees that can be given. Without special routing policies an earlier received low priority message can delay a high priority message. [3, p. 1103]

1.3.3 Solutions

To avoid the random behavior of the normal Ethernet MAC algorithm, several different solutions have been developed. Some can be used in a network of standard Ethernet devices others are not useable in such a scenario. From this point of view, real-time Ethernet can be divided in two categories "Modifications That Alter Compatibility" [3] and "Modifications That Keep Compatibility" [3].

In [5, p. 1121] the different approaches are described as

- on top of TCP/IP: TCP/IP stack remains unchanged, real-time protocol defined in top layer
- on top of Ethernet: Standard Ethernet is used but TCP/UDP/IP protocols are replaced by own protocols
- Modified Ethernet: Ethernet protocol is modified

1.3.3.1 Modifications That Alter Compatibility

This category alters the MAC algorithm. Thus, these nodes can't communicate with standard devices. The big advantage of low priced hardware would be worthless if this approach requires totally new hardware. But it is possible to create new solutions on base of IEEE 802.3 compliant hardware.

1.3.3.2 Modifications That Keep Compatibility

Nodes that implement such solutions can coexist with standard Ethernet devices in the same network and communicate with each other. It is possible to distinguish two more classes. The homogeneous subclass only guarantees to fulfill the requirements when all devices implement the same features. The second subclass the heterogeneous gives guarantees to fulfill the requirements even in presence of nodes with other Ethernet modifications. [3, p. 1109]

1.3.4 Beginning of Ethernet Wars?

The question is if the industry and the IEC have learned from their past failures. The fieldbus wars led to a complicated situation and didn't make the situation better for customers of fieldbuses. Although industrial Ethernet is a recent approach many different solutions and products exist - all with different approaches and techniques. The question is if this will lead to a similar deadlock situation as during the fieldbus wars?

The opinion in [7, p. 419] is that history won't repeat itself. The authors think that the IEC can't afford a new standardization war which would result in image loss. The vendors have also learned that blocking the standardization for a long time just results in compromises and is very expensive. Furthermore, the IEC workgroups don't search for one standard but search for a multipart solution. As a result, it is expected that the standardization process will go by smoothly.

Name	Kind of realisation	Speed classes
P-NET	on top of UDP/IP, keeps compatibility	low speed
Modbus over TCP	on top of TCP/IP, keeps compatibility	low speed
Modbus-RTPS	on top of TCP/IP, keeps compatibility	medium speed
Vnet/IP	on top of TCP/IP, keeps compatibility	medium speed
EtherNet/IP	on top of TCP/IP, keeps compatibility	high speed
PROFINET CBA	on top of Ethernet	medium speed
TCnet	on top of Ethernet	high speed
EPA	on top of Ethernet	?
ETHERNET Powerlink	on top of Ethernet	high speed
PROFINET IO	modified Ethernet	high speed
EtherCAT	modified Ethernet	high speed
SERCOS	modified Ethernet	high speed

In IEC 61784-2 [8] and [5] the following solutions are listed:

Table 1.2: Popular Industrial Ethernet solutions

2 Modbus over TCP/IP

The original Modbus protocol was developed by Modicon in 1979. This protocol supports the communication between intelligent devices over a serial line. Modbus is a very simple protocol and it is widely used in many different parts of industry. In 1999, the protocol has been adopted to use a TCP connection for the transport of the data. This variant is called Modbus over TCP/IP. It keeps compatibility with other Ethernet products (see Section 1.3.3).

In 2004, Schneider Electrics transferred the protocol to the Modbus Organization¹. This organization describes itself as a group of independent users and suppliers of automation devices that want to push the adoption of the Modbus protocol. They also share information about the protocols and offer a certificate for devices and applications that apply to the Modbus standard. The specification of the Modbus protocol can be obtained free of charge². The usage doesn't require licensing fees.

2.1 Basic Idea

The following chapter is based on the Modbus Application Protocol Document of the Modbus organization [9, p. 6].

Modbus is a pretty simple protocol but also powerful enough to support many types of applications. The basic idea is that a master requests a value from a slave and the slave sends back the requested value.

The protocol defines two different data units. The simple protocol data unit (PDU) is always the same and does not depend on the underlying communication layer. In the application data unit (ADU) frame additional fields can be added (e.g. Modbus over TCP/IP adds the MBAP Header).

The PDU consists of a function code and data. The function code field is one byte long. The length of the data depends on the function code.

¹http://www.modbus.org

²http://www.modbus.org/tech.php

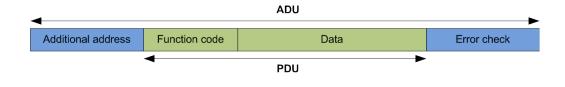


Figure 2.1: General Modbus frame [9, p. 4]

There are well defined public functions codes but it is also possible to define own function codes.

Modbus over TCP/IP defines the ADU as the standard PDU and a MBAP Header (Modbus Application Protocol). This header provides information needed for sending data over bridges, routers and gateways to distinguish between multiple Modbus devices that use the same IP address. It also adds a message length information to recognize the message boundaries. [10, p. 5]. The frame is shown in Figure 2.2.

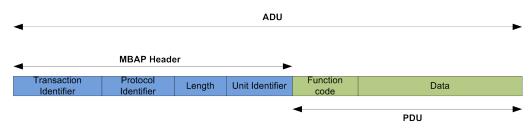


Figure 2.2: Modbus over TCP/IP frame [10, p. 4]

The underlying data model is simple to describe. There are singe bits and 16-bit words that can be read and written. Read-only bits are called Discret Inputs and read-write bits are called Coils. Read-only words are called Input Registers and read-write words are called Holding Registers. These different regions are not necessary different memory regions but can also overlap each other. It is possible to access the same memory bit wise or word wise.

So each PDU contains a function code and an address the function should apply to. Each data in a PDU is addressed from 0 to 65535. The mapping of these addresses to actual data in the memory is totally vendor specific.

The device that sends the request is called master but in terms of the TCP communication it is a client. The device reacting to the request is called slave with typical server functionality.

The normal error free communication follows this procedure. The master/client initiates a request to the slave/server. The slave performs the action and initiates the response that is received by the master. The response function code is the same as in the request.

Error handling is also accomplished over the PDU frame. Several errors can occur on the Modbus layer:

- invalid function code
- invalid data address
- · invalid data value

The function code of the exception response is the request function code + 0x80 and the data consists of an exception code to provide information about the reason of the exception. So 1-127 are normal function codes and 128-255 are exception function codes.

As the TCP layer ensures the correct communication between the devices no communication error handling is needed here. Otherwise, the ADU frame would contain checksums for the data.

In Figure 2.3 and Section 2.1.1 the basics of a Modbus transaction are described.

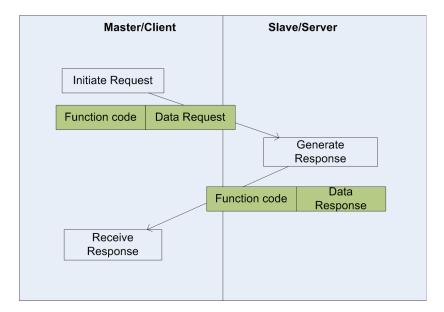


Figure 2.3: Error Free Modbus Transaction [9, p. 4]

2.1.1 Example communication

Function code 0x04 (Read Input Registers) allows to read up to 125 register values from another device [9, p. 16]. To use this function the starting address and the byte count must be specified. The response includes the function code 0x04 followed by the values of the requested registers. The following example shows the usage of this function code. Other Modbus functions work in a very similar way.

2.1.1.1 Request

A master wants to read four register values starting from the address 0x15. In the PDU, registers are addressed starting at zero so the request must be from address 0x14. (see Table 2.1)

Function code	Starting Address	Quantity of Input Register
0x04	0x0014	2 * 4

Table 2.1: Modbus Request

2.1.1.2 Response

The slave receives this request and reacts according to it. It adds 1 to the starting address and maps it into its real memory. In this example, these four registers contain the values 0x1515 0x1616 0x1717 0x1818. The master receives these values and this request is completed. (see Table 2.2)

Function code	Byte Count	Input Registers
0x04	2 * 4	0x1515 0x1616 0x1717 0x1818

Table 2.2: Modbus Response

In Modbus over TCP/IP these principles are the same. There are several additional points a developer has to consider. The standard port for Modbus over TCP/IP is 502 and the management of the TCP connections and the parameterization of the TCP stack must be taken care of. The connection management can be done in the Modbus module or the user application. These aspects are described in the Modbus Messaging Implementation Guide [10].

2.2 Problems of Modbus over TCP/IP

The main disadvantage of Modbus over TCP/IP is that with standard Ethernet it is only suitable for the low-speed class of communication (see Section 1.3). To improve this, the protocol is extended to provide real-time support. These extensions are called the Real-Time Publisher Subscriber (RTPS) protocol. This protocol defines two communication models:

"the publish-subscribe protocol, which transfers data from publishers to subscribers; and the Composite State Transfer (CST) protocol, which transfers state information from a writer to a reader. In the CST protocol, a CST writer publishes state information as a variable (VAR) which is subscribed by CST readers." [5, p. 1122]

In this protocol, the subscriber specifies with what rate it needs to receive the information. It also sets the maximum receive rate and the time interval after that the information gets useless. This extension is not used often and the exact performance of this protocol is unknown. It might reach the process control speed class with delivery times around 10 ms (see Section 1.3). [5, p. 1122]

Another issue isn't covered by the protocol. As it uses a standard TCP/IP stack such devices could be easily reached from the Internet. If somebody gains access to a Modbus over TCP/IP network he can gather all information available in this network. The Modbus Implementation Guide recommends:

"In certain critical contexts, accessibility to internal data of devices must be forbidden for undesirable hosts. That's why a security mode is needed and security process may be implemented if required." [10, p. 9]

3 PROFINET

PROFINET is defined by Siemens and other manufacturers. As successor of PROFIBUS, it is also supported by PROFIBUS International. It is defined in IEC 61158 and IEC 61784. The goal is to create a technology for communication in industrial context based on standard Ethernet. [7, p. 1126]

As described in Section 1.3, this requires real-time support and PROFINET offers solutions in this area. Additionally, it supports the development and integration of components to plants with software tools. Diagnostic functions and MES integration are also considered and defined in PROFINET. To allow plant owners to switch to new technologies more easily, it supports the integration of fieldbuses and enables a continuous development of the communication network. [13, p. 3]

In [8, p. 55], the following areas of usage are defined:

- isochronous applications (e.g. motion control)
- non-isochronous applications (e.g. factory automation, process automation, building automation)

The first variant was PROFINET CBA (Component Based Automation). As the name indicates it focuses on the automation area. The second variant of PROFINET is PROFINET IO. This is based on PROFIBUS DP and focuses on the same application range. The goal is to connect IO devices and exchange data in real-time.

PROFINET offers support for changing devices during runtime. A failed device is recognized and reported so it can be changed. The new device is parametrized automatically. This helps avoiding configuration errors. [13, p. 3]

3.1 PROFINET CBA

The main idea of PROFINET CBA is that a plant can be divided into several components. These components are used several times in different places. So it allows defining these components in a standardized form. Each component has a limited and rather small amount of inputs and outputs that are used for communication. [7, p. 1126]

Thus, each vendor of a component engineers the component and describes its inputs and outputs in a PCD (PROFINET Component Description) file. This is an XML based file with a defined schema. The vendor ships this file with the device which enables the customer to process this file with a PROFINET CBA compatible engineering tool. By unions of this tool, PCDs of all components that are required are available. The engineer connects the inputs and outputs and creates the desired solution. This simplifies the programming of devices in "clicking" the required functions together. PROFINET CBA takes care of the communication and the errorprone programming of the communication can be avoided. To get a plant running, the configuration is downloaded with vendor specific tools to the field devices. If the vendors implements the TCI (tool calling interface) it is possible to simplify this process. [13, p. 30]

PROFINET IO networks are also PROFINET CBA components and can be integrated to bigger solutions with the help of PROFINET CBA. [13, p. 32-34]

The components just react to the inputs and deliver the result to the outputs. The communication between the components is handled by PROFINET CBA over standard Ethernet. If the low-speed class (see Section 1.3) is fast enough it uses a normal TCP/IP stack for communication.

For more advanced requirements, a real-time mode is available. This enables solutions in the second class (see Section 1.3) of performance. This real-time channel is only based on the Ethernet layer and skips the rest of the stack. To recognize these special frames, two different ether types are defined: 0x800 for none real-time communication and 0x8892 for real-time communication. This real-time communication is less powerful as the solution in PROFINET IO. It just speeds up the transmission by bypassing some parts of the stack but the problems of standard Ethernet remain untouched (see Section 1.3.1). See Figure 3.1 for an illustration of the stack variants. [13, p. 33]

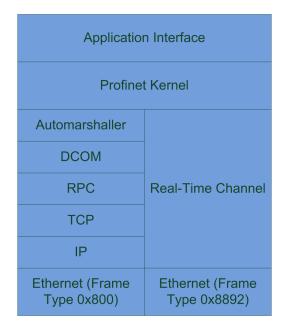


Figure 3.1: PROFINET CBA stack variants [13, p. 35]

3.2 PROFINET IO

In PROFINET IO, several IO-devices are connected to an IO-controller. This controller has a similar functionality as a PROFIBUS master. The creation of a PROFINET IO system has the same look and feel as the creation of a PROFIBUS system. The main goal is to access the data of the devices fast and reliable. To offer these real-time features, PROFINET IO defines four real-time classes. Standard TCP and UDP traffic is still available.

3.2.1 Cyclic Communication

To make cyclic transmission of data more reliable, specific time frames exist. Within the first frame the real-time traffic is handled. After that, a frame for standard UDP/TCP communication is offered. The real-time traffic is also divided into two sections. In the IRT (isochronous real-time) frame, a strict sending order is defined and all devices agree on that sending order. In the other frames is no strict sending order defined. These frames are called RT (real-time) and NRT (none real-time).

RT traffic is transferred without TCP/IP information in an Ethernet frame with the frametype 0x8892. Real-time traffic is based on the producer-consumer model and the reception of a message is not acknowledged. The VLAN tag is used to define priorities. This technique is specified in IEEE 802.1Q and allows assigning priority codes to devices. With this information the switch can recognize high priority packets and deliver them faster. The switches used must support this tag. Otherwise no special hardware is required for RT traffic. [13, p. 10] Figure 3.2 provides an illustration of the timeframes.

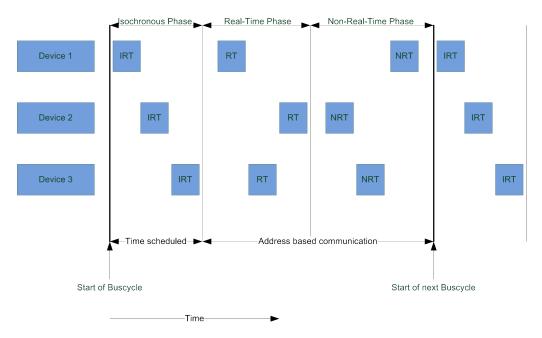


Figure 3.2: PROFINET timing [5, p. 1128]

PROFINET IO defines four different classes for classification of these transportation modes. Classes as described in [13, p. 9-10] and [8, p. 56]:

RT_CLASS_1 This class allows unsynchronized data exchange within one subnet. This is the standard functionality and every **PROFINET** IO

device supports this class. In this class the beginning of a bus cycle is not defined. Standard Ethernet switches can be used.

RT_CLASS_2 This class allows the synchronized transfer of data within one subnet. In this class all devices agree on the same start of a bus interval and react according to that. This class requires PROFINET compatible switches.

RT_CLASS_3 In this class the isochronous transfer within one subnet is supported. A strict sending order is defined. Special hardware is needed. This class allows bus cycles that are shorter than 1ms and the jitter of the start of a bus cycle is $1\mu s$. This allows communication in the highest class motion control defined in Section 1.3.1. The time is defined by a single clock master that can be integrated into an IO-controller. [13, p. 17]

The clock synchronization is repeated cyclically and can be divided into two phases. First the master measures the delay on the transmission link between to communication partners. After this the master sends a synchronization frame using a multicast. In this frame the value of the master clock and the delay of the master clock is included. This information is used to synchronize the clocks of the slaves. [11, p. 55-58]

RT_CLASS_UDP This unsynchronized class allows the communication between different subnets. This is an optional class.

Additional to these classes there are three redundancy classes defined:

RED_CLASS_1 "*Ring redundancy for IEEE 802 and IETF communications combined with the RTE specific additions RT_CLASS_1 and RT_CLASS_UDP*." [8, p. 56] **RED_CLASS_2** "Bumpless¹ ring redundancy for RT_CLASS_1 and RT_CLASS_2." [8, p. 56]

RED_CLASS_3 *"Bumpless ring redundancy for RT_CLASS_3."* [8, p. 56]

3.2.2 Acyclic Communication

Beside the cyclic RT communication, it is possible to transfer data initiated by an event. The transmission of this data happens over standard UDP/IP. This can be used to transfer log messages or diagnostics information. [13, p. 10]

Alarms are also acyclic high priority messages. The receiver of an alarm acknowledges the alarm to the sender. There are two different alarm classes:[13, p. 11]

Process alarms This alarm arises on a condition of the observed process (e.g. the temperature is too high). The device itself can be working correctly but it is also possible that the alarm is triggered because of a failure in the device.

Diagnostic alarms are used when the device failed.

3.2.3 Addressing

Each device has a symbolic name that is connected to a MAC address. The IO-controller maps an IP-address to a MAC address based on that symbolic name.

Beside of that each device has different slots. A slot represents a device. Each slot can have multiple subslots which can be read bit, byte or word wise. Each subslot represents a connection to the process the devices is controlling or observing. This numeric value of those subslots is

¹"in case of a fault a smooth switchover to other communication paths is possible" [13, p. 26]

transmitted in a "bundled" fashion with meta information that allows to decide if a value is still valid.

With a so called Index it is possible to access the subslots in an acyclic time interval. The cyclic access is defined by the vendor.

3.2.4 Network Topology

With a switch it is possible to create star networks. It is also possible to integrate the switch functionality into the devices and equip them with two plugs. This allows the creation of bus networks. Building a tree network is also possible. The LLDP (Link Layer Discovery Protocol) protocol discovers the neighbors of a device and recognizes the topology of the network automatically. [13, p. 14]

3.2.5 Web Integration

PROFINET also offers access to data over HTML and XML. This allows diagnostics and commissioning over the Internet. The support of HTML allows human access to this data and XML supports the exchange of information between computers over the Internet. The Web server needed for this can be integrated into the device. [13, p. 37]

3.2.6 Security

As it is possible to communicate with the devices over the Internet and it is possible to support Web integration steps must be taken to ensure the security in a plant. The devices themselves do not implement a security concept. Thus everyone has access to the data. To avoid security issues the network is split into separate segments and the data sent is controlled by special switches. These switches ensure that only authorized traffic reaches and leaves a segment. [13, p. 38]

3.2.7 Fieldbus Integration

With the help of proxies and gateways it is possible to integrate existing fieldbuses into a PROFINET network. There exist solutions for PROFIBUS, Interbus, DeviceNet and others.

4 Conclusion

It seems that nothing can stop the success story of Industrial Ethernet. Many important vendors already offer products in this area. The solutions fix the weak points of standard Ethernet like indeterminism of the transmission or the required transmission time.

The standardization process continues and IEC is avoiding an Industrial Ethernet war. The compromise is that there won't be a single standard for Industrial Ethernet. This is certainly not a perfect solution and it would be much nicer to have a single standard like in the office world. On the other hand the requirements of the industry vary much more. PROFINET offers integration of older fieldbuses and so do other vendors of Industrial Ethernet. Thus, it is easier to use this new technology and integrate it in the existing network.

This integration must be done carefully. The Stuxnet virus shows that it is possible to spy or sabotage an industrial control system. This virus directly attacks the PLCs (programmable logic controllers) and the computers that program these devices. This virus managed to infect approximately 100,000 PLCs. [4] When Ethernet based solutions are used it is even possible that a normal Windows virus infects the network and causes much problems there. This has to be taken in account and preparations for that scenario must be taken.

Comparing Modbus over TCP and PROFINET leads to similarities but also reveals different approaches (see Table 4.1). Both protocols are maintained by a group of different vendors and both are a so called open standard. Beside that, both share the same goals:

- use Ethernet Hardware for communication in industrial context
- simplify access to data over a network
- · focus on process automation

But the ways of achieving those common goals are quite different. Comparing Modbus over TCP and PROFINET seems actually a bit unfair at the first glance. Modbus over TCP offers just a very simple protocol to transmit data from one device to another. PROFINET also offers this functionality. Additionally, PROFINET covers the development of plants as well as the monitoring of a running network.

As PROFINET CBA and Modbus over TCP use Ethernet and IP for communication both support the same address space when it comes to IP traffic. This allows to build large networks. PROFINET IO uses for realtime traffic only the Ethernet layer and so there are no IP addresses used. The MAC address of each device is worldwide unique. This address space can also be considered big enough for every purpose.

Addressing data in the device reveals differences. Modbus just defines bit and word wise access and allows to address 65536 bits and 65536 words (from 0 to 65535). The address space of PROFINET is much bigger. There are 32767 slots where each slot may contain 32767 subslots. Additionally, PROFINET defines more data types than Modbus.

When it comes to security Modbus over TCP and PROFINET share a similar approach here. Security isn't implemented in the devices. To achieve access restrictions both protocols need special switches that apply the desired rules to the network traffic. So no protocol has a real advantage here.

PROFINET has a wider application range as it supports real-time transmission. It is even capable to deliver traffic in the highest transportation class called the isochronous real-time class. Modbus over TCP can't compete with PROFINET IO here. In terms of transportation class, it is more comparable to PROFINET CBA.

But Modbus has also some benefits. It is a very simple protocol that can be implemented fast and uncomplicated. At the same time, Modbus is also powerful enough to fulfill the requirements of many applications. PROFINET IO and CBA together are a more sophisticated protocol but that brings more complexity along. With Modbus the development of devices seems more flexible. This might be the reason why it is still so successful. Although Modbus is quite old and a very simple approach it seems that its success story isn't over yet. PROFINET is a more sophisticated solution but has to prove that its accepted by the industry. There were already 2.1 million PROFINET nodes installed in the end of 2009 [1] but compared to the more than 31 million devices of PROFIBUS [2] there is still a long way to go.

	Modbus over TCP/IP	PROFINET CBA PROFINET IO	PROFINET IO
hardware requirements	standard Ethernet	standard Ethernet	modified Ethernet
low-speed class (100ms)	yes	yes	yes
process controller (10ms)	yes (with RTPS)	yes	yes
motion control (1ms)	no	no	yes
addressing	IP address + Unit Identifier	IP or MAC address	
address space	16 bit	32767 slots with 32767 subslots	767 subslots
data access	bit or word wise	bit, byte or word wise	se
application range	human control	process control	motion control possible
security	not covered by protocols		
complexity	simple	advanced	advanced

2 :

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